



### **SAFEGUARDS REPORT**

# SAFEGUARDS CAPACITY TRAINING FOR LANDSCAPE ACTORS

FORESTRY COMMISSION TRAINING CENTER (FCTC)

CLIMATE CHANGE DIRECTORATE

**JANUARY 2024** 

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#### Introduction

The Lowering Emissions by Accelerating Forest Finance (LEAF) Coalition is a unique public private partnership focused on halting tropical deforestation by 2030. By bringing together forest governments, the private sector, donor governments, Indigenous Peoples and local communities and civil society, LEAF aims to raise and deploy the finance needed to tackle deforestation by making tropical forests worth more alive than dead. LEAF works at scale, supporting large scale REDD+ programs to reduce emissions from deforestation across entire countries, or subnational jurisdictions, which involve all key stakeholders, including Indigenous peoples and local communities.

26 Forests Governments (national and subnational) have expressed an interest to work with LEAF to reduce tropical deforestation. Nine, including Ghana, have signed Letters of Intent (LOIs) to supply high integrity credits to the LEAF Coalition.

The LEAF Coalition is fully focused on integrity, only purchasing forest carbon credits that meet the stringent criteria of the robust and independent Architecture for REDD+ Transactions / The REDD+ Environmental Excellence Standard (ART/TREES) which guarantees the highest levels of environmental integrity and social safeguards.

As part of expanding Ghana's forest carbon portfolio in line with accessing the voluntary carbon market, Ghana through the Forestry Commission has accessed ART/TREES.

The Forestry Commission (FC) with funding support from the United Nations Environment Programme (UNEP) organized a capacity building training for landscape actors within the Ghana Cocoa Forest REDD+ Programme (GCFRP) area. The training targeted members of the Hotspot Intervention Area (HIA) governance structure. The participants were members of the HIA Management Boards (HMBs) and Sub-HIA Executive Committees (SHECs). The training was held on 30<sup>th</sup> January 2024 by the Climate Change Directorate (CCD) of the FC. The purpose of this training was to build capacities of stakeholders on the ART/TREES in the context of the LEAF area, which covers ten regions, encompassing

GCFRP area. The training was attended by 67 participants in total. Of these, 41 were males and 26 were females.

#### **Proceedings**

The trainings started off with a warm welcome from the National REDD+ Secretariat, after which participants were given the opportunity to introduce themselves. Participants were introduced to the purpose of the training. This training provided the opportunity to discuss the LEAF coalition and ART/TREES vis-à-vis the ART/TREES safeguards requirements to ensure understanding about the ART/TREES expectations of safeguards operationalization. Staff of CCD took participants through presentations on LEAF and ART/TREES, after which questions were asked and answers provided. Discussions followed each presentation.

#### **Presentation on LEAF**

The manager, programs, of the CCD presented on this. This presentation covered what LEAF Coalition is and what Ghana has done so far with regards to it. A brief introduction was given on the ART/TREES and its relation to LEAF.

The aim of the LEAF coalition was explained in summary to provide the finance needed to enable tropical and subtropical forest governments (national and subnational) to move more rapidly towards ending deforestation, while supporting them in achieving their Nationally Determined Contributions (NDCs) under the Paris Agreement.

In 2021, Ghana through the FC successfully submitted a proposal to Emergent Forest Finance Accelerator, under the LEAF for the sale of Carbon Credit for years 2025 and 2026. Submission of REDD+ results were based on the robust ART/TREES.

Emergent is the non-profit convenor and coordinator of the LEAF Coalition. It enters into parallel contracts, or Emissions Reductions Purchase Agreements (ERPAs), with forest governments selling credits on one side, and with LEAF Coalition corporate buyers and donor governments on the other. This enables Emergent to offer credits from one forest

nation to multiple buyers via a single contract, whilst buyers, through their single contract with Emergent, can purchase credits from a range of Forest Governments.

#### What has been done:

- Ghana has submitted a Concept Note
- Ghana has submitted all documents required to be registered on the ART Registry
- Ghana has developed a reference level for TREES for the period 2012 to 2016;
  9,606,429 tons of CO2e
- Ghana has achieved ERs within the Monitoring Period: 2017-2021
- Ghana has submitted the TREES Registration Document & and TREES Monitoring Report
- Ghana has signed an Emission Reductions Purchase Agreement with Emergent for vintage years 2017-2019 @ \$10/ton for up to \$50 million
- Ghana has engaged a Validation and Verification Body to validate and verify its submission

#### **Presentation on ART/TREES**

This covered the overview, aim and vision of ART/TRESS, eligibility, safeguards requirements, ART/TREES cycle and discussion about perspective and next steps for Ghana

#### Overview, aim and vision of ART/TRESS

The vision of ART is to provide confidence needed in the integrity of forest emissions reductions to unlock new, large-scale investments to protect and restore forests and reward countries that are delivering those results.

The overview of ART presents it as a global voluntary carbon program (architecture) to register, verify and issue high quality REDD+ emissions reduction credits to countries and jurisdictions.

The overview of TREES also presents it as a precise technical, safeguard, verification, and registration requirements for national and jurisdictional crediting of emission reductions from reduced deforestation and degradation.

#### **Eligible TREES participation**

- Participant must be national government or subnational government with national approval
- Registration of subnational accounting areas that meet TREES eligibility requirements allowed until December 31, 2030.
- Boundaries of subnational accounting: one or several jurisdictions no more than one level down from national.
- Subnational areas shall encompass a minimum area of 2.5 million hectares of forest.

#### Technical requirements:

- Audit
- style validation / verification and registration required,
- Legal aspects
- Forest monitoring and reference level setting
- Accounting: non
- permanence, leakage, and uncertainties
- Safeguards

#### **ART/TREES safeguards requirements**

Safeguards key requirements/eligibility criteria aligned to UNFCCC Cancun safeguards and core safeguards requirements:

#### National

Having addressed and respected the safeguards

- Having submitted the most recent Summary of Information (SoI) to UNFCCC for any year where Results Based Payments (RBP) under TREES are sought
- Having a digital or analog system for providing information on safeguards (Safeguards Information System (SIS))

#### Subnational (interim)

- Having addressed and respected the safeguards at the scale of REDD+ implementation applicable to the Participant in consistency with national legislation and/or safeguards conformance at the national level
- Having submitted a SoI or safeguards report at scale consistent with national reporting to UNFCCC for RBPs year under TREES
- Demonstrating safeguards tracking and/or monitoring tools are consistent with national tracking or tools, in particular with SIS

#### Structure of safeguards elements & reporting

Each Cancun safeguard unpacked into 16 themes, define conditions that must be met to address and respect safeguards. These comprise 44 indicators categorized under three types,

- > Structure: demonstrate relevant governance arrangements (e.g., policies, laws, and institutional arrangements) in place.
- > Process: demonstrate that relevant institutional mandates, processes, procedures, and/or mechanisms are in place and enforced.
- Outcome: demonstrate implementation outcomes, including how outcome(s) have been identified and are or will be monitored

#### **ART/TREES** safeguards reporting

1st crediting period:

Participants report conformance against all structure and process indicators plus present plan for achieving conformance with the outcome indicators (or report conformance with outcome indicators)

#### 2nd crediting period:

Participants report conformance with all 3-types indicators. All indicators must be met within 5 years, before beginning of  $2^{nd}$  crediting period

- Subnational Participants, safeguards aligned and consistent with national procedures and/or applicable legislation
- Summaries of information and SIS can be used to provide information and demonstrate conformance.

#### The ART/TREES cycle

Participant selects a Validation and Verification Body (VVB) from list of approved ART VVB to verify and validate all documents and activities.

| Validation   | Verification  |
|--|---|
| TREES Registration Document  | TREES Monitoring Reports  |
| Year 1   | Years 1, 3 and 5 (optional years 2 & 4)   |
| Conducted against information provided by Participant in Registration document | Conducted against evidence for safeguards indicators, Registration document & Monitoring report |
| Validation Report (within 12 months of the kickoff)                            | Verification Report and Statement (within 12 months of the kickoff)                             |

The Secretariat will request revisions as needed and submits recommendation to ART Board for approval and credit issuance.

The ART Registry will contain Participant information, program documentation, V&V Reports, records of serialized credit issuance, credit cancellation, transfer, and retirement data, and a pooled buffer account.

#### Discussion about perspective and next steps for Ghana Preliminary TREES safeguards assessment -findings

| SIS requirement | Yes-Have either a digital or analogue system for providing        |  |  |  |  |  |
|-----------------|---|--|--|--|--|--|
| 313 requirement | information on safeguards   |  |  |  |  |  |
|                 | Yes-Have SOI submitted May 2019                                   |  |  |  |  |  |
| SOI requirement | No-Need to submit the most recent SOI to the UNFCCC for any       |  |  |  |  |  |
|                 | year where results-based payments under TREES are sought (gap     |  |  |  |  |  |
|                 | for information after May 2019)                                   |  |  |  |  |  |
| Reporting on    | Conformant on structure indicators, partially on process          |  |  |  |  |  |
| Cancun          | indicators, potentially non-conformant currently on some outcome  |  |  |  |  |  |
| Safeguards      | indicators  |  |  |  |  |  |
| Verification of | By accredited verification body. Must conform with all indicators |  |  |  |  |  |
| compliance with | after 5 years.  |  |  |  |  |  |
| requirements    | arter 5 years.  |  |  |  |  |  |

#### Q & A

- **Q.** Will the GCFRP area overlap with the LEAF area?
- **A.** The GCFRP area is nested within the LEAF area so there will be no overlap.
- **Q.** If the GCFRP is nested within the LEAF area, would there not be double counting of emissions?
- **A.** The GCFRP area is not viable for payment under LEAF for the period the GCFRP is set to run. It has already been committed and as such will be subtracted from that of the

entire LEAF area. The years before the GCFRP period, dubbed vintage years, are however viable for sale, which is 2017-2018.

- **Q.** How are we ensuring emissions sold by private entities / plantations are taken note in avoidance of double counting?
- **A.** The government in its dealings has to always make sure these sold emissions by private entities/ plantations are subtracted from overall emissions of any area in question.
- **Q.** Are all areas under GCFRP getting payments?
- **A.** Not all areas were paid due to the HIA approach implemented. The necessary governance structures for the remaining areas are being developed and prepared for subsequent payments.
- **Q.** Is there any policy or law regulating carbon market in Ghana?
- **A.** The EPA is working on a framework in collaboration with other relevant entities for the regulation of carbon and engagement with the carbon market.
- **Q.** With regards to benefits sharing, is there a way to identify the contributions of each stakeholder, that is, what activities they are carrying out. We know there are different stakeholders involved in different restoration activities. This is important in order to give what each is due per their input when benefits are accessed and avoid double counting as well.
- **A.** The benefits sharing plan used under the GCFRP will be one of the basis for benefits distribution under the ART/TREES and if the need for modifications arise upon further consultations, it will be done and implemented. A thorough stakeholder analysis was done

under the GCFRP to this effect. As registration is ongoing, stakeholders outside the GCFRP area but within the larger leaf area are being identified and their activities catalogued to know how they are contributing to emission reductions. Any concerns will be addressed when consultations on the benefit sharing arrangement are underway.

**Q.** Which VVB did Ghana settle on for the LEAF?

**A.** Ghana nominated SCS Global since we already had some experience with them under the GCFRP.

**Q.** Why were the vintage years not viable under the GCFRP?

**A.** Every donor/ organization has their terms and conditions. The World Bank only agreed to pay for emissions from 2019 to 2024, thus the Emission Reductions Payment Agreement (ERPA) was signed against that.

#### Challenges

Some of the information are very technical and complex. As such, breaking them down to the understanding of the farmers was quite challenging.

#### Conclusion

In closing, the participants thanked the organizers for organizing this training and providing the platform for active discussions. The participants gave assurance that the knowledge acquired would help them to carry out activities effectively and in appropriate manner. They indicated that the training was a wonderful opportunity to gain and share facts, knowledge, and insights for the implementation of project activities.

The CCD thanked everyone for their continuous efforts for the successful organization of the training. They stressed on the importance of having a collaborative team approach going forward and indicated that the CCD will continue providing support where necessary.

#### **Going forward**

- Undertake continuous stakeholder capacity/awareness on LEAF/ART-TREES
- Develop more simplified training materials to target the local actors
- Develop a Benefit Sharing plan for the Pre-LEAF Transaction
- Undertake validation and verification of the LEAF program

#### **Annexes**

Annex 1: List Of Participants



## Asunafo Asutifi



| NAME                      | M/F | ORGANIZATION | LOCATION   | CONTACT    | SIGNATURE    |
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## Safwi Wianso - Bibiani



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Annex 2: Gallery

